



22 September 2023

HON. JAIME J. BAUTISTA
Chairman and DOTr Secretary
HON. MANUEL ANTONIO L. TAMAYO
Director General (DG)
CIVIL AVIATION AUTHORITY OF THE PHILIPPINES (CAAP)
MIA Road, Pasay City

**RE: VALIDATION RESULT OF CAAP'S
2022 PERFORMANCE SCORECARD**

Dear Secretary Bautista and DG Tamayo,

We respectfully furnish you with the validation result of CAAP's 2022 Performance Scorecard (**Annex A**), where CAAP obtained an overall score of **73.29%**.

Very truly yours,


ATTY. MARIUS P. CORPUS
Chairperson


ATTY. BRIAN KEITH F. HOSAKA
Commissioner


**ATTY. GERALDINE MARIE B.
BERBERABE-MARTINEZ**
Commissioner

cc: The Resident Auditor – CAAP



CIVIL AVIATION AUTHORITY OF THE PHILIPPINES (CAAP)
Validated 2022 Performance Scorecard

Components					GOCC Submission		GCG Validation		Supporting Documents	Remarks	
Objective/Measure	Formula	Weight	Rating System ^{a/}	Target	Actual	Rating	Actual	Rating			
SO 1	Standard Aviation Safety and Security										
	<i>International Civil Aviation Organization (ICAO) Standards Maintained</i>										
SM 1	a. Universal Safety Oversight Audit Programme (USOAP) - Effective Implementation Score	Actual Percentage	8%	All or Nothing	Higher than Global Average	Maintained USOAP Effective Implementation score higher than the global average	8%	70.52% (Higher than Global Average)	8.00%	- State Safety Briefing (SSB) Report for the Philippines in Regional Aviation Safety Groups - Asia Pacific (RASG-APAC) [automatically Generated by ICAO/ANB] accessed by CAAP on 11 January 2023.	The Global Average E.I. decreased from 69.54% to 67.7% as of the end of 2022. The Philippines' E.I. Score of 70.52% is still higher than the Global Average
	b. Effective State Safety Program (SSP) level	Actual Accomplishment	5%	All or Nothing	Level 3: Implementation of Gap analysis (Improvement from 2021)	Level 3: Implementation of Gap Analysis (16.7%)	5%	Level 3: Implementation of Gap Analysis (16.7%)	5.00%		CAAP is still at SSP Level 3 in 2022 at 16.7% progress rate which is an increase from the previous progress rate of 2.4% in 2021.
	c. Absence of Significant Safety Concerns (SSCs)	Actual Accomplishment	8%	All or Nothing	0 SSCs	Maintained 0 SSCs	8%	0 SSCs	8.00%		SSCs indicate that a State is not providing sufficient safety oversight to ensure the effective implementation of applicable ICAO Standards. The Philippines maintained zero (0) SSCs as of 2022.
	Subtotal		21%					21.00%	21.00%		

SOCIAL IMPACT

Components					GOCC Submission		GCG Validation		Supporting Documents	Remarks													
Objective/Measure	Formula	Weight	Rating System ^{a/}	Target	Actual	Rating	Actual	Rating															
SO 2 Reliable Service to Stakeholders																							
CUSTOMERS / STAKEHOLDERS	<i>Percentage of Satisfied Customers</i>																						
	SM 2	a. Passengers	Number of Respondents who gave at least a Satisfactory rating / Total number of respondents	3%	Actual / Target	90%	Not Accomplished	0.00%	Not Accomplished	0.00%	N/A	Due to the extended contracting and conflict with other committed projects of the Development Academy of the Philippines (DAP) as the third -party service provider, CAAP has not pushed through with the conduct of CSS project due to time constraints.											
		b. Concessionaires		2%	0% = If less than 80%	90%																	
		c. Local Airport Authorities		2%		90%																	
		Subtotal		7%			0.00%	0.00%															
SO 3 Improved Financial Viability																							
FINANCIAL	SM 3	Gross Income	Business Revenue + Service Revenue + Interest Income	10%	Actual / Target	₱4.727 Billion	₱4.728 Billion	10.00%	₱5.938 Billion	10.00%	COA-Audited 2022 Financial Statements (FS) and Notes to FS of CAAP	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #1a3d4d; color: white;"> <th>Items</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td>Business Revenue</td> <td style="text-align: right;">182,251,898</td> </tr> <tr> <td>Service Revenue</td> <td style="text-align: right;">5,731,300,004</td> </tr> <tr> <td>Interest Income</td> <td style="text-align: right;">24,414,427</td> </tr> <tr> <td>2022 Gross Income</td> <td style="text-align: right;">5,937,966,329</td> </tr> </tbody> </table>	Items	Amount	Business Revenue	182,251,898	Service Revenue	5,731,300,004	Interest Income	24,414,427	2022 Gross Income	5,937,966,329	
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SM 4	Earnings Before interest, Taxes, Depreciation and Amortization (EBITDA)	Net Income + Interest + Taxes + Depreciation + Amortization	10%	Actual / Target	₱ (781) Billion	₱ (1.085) Billion	10.00%	₱460.32 Million	10.00%	COA-Audited 2022 Financial Statements (FS) and Notes to FS of CAAP	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #1a3d4d; color: white;"> <th>Items</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td>Net Loss After Tax (but before subsidy and Other Comprehensive Income)</td> <td style="text-align: right;">(946,476,395)</td> </tr> <tr> <td>Income Taxes</td> <td style="text-align: right;">0</td> </tr> <tr> <td>Depreciation</td> <td style="text-align: right;">1,404,735,799</td> </tr> <tr> <td>Amortization</td> <td style="text-align: right;">2,065,408</td> </tr> <tr> <td>2022 Gross Income</td> <td style="text-align: right;">460,324,812</td> </tr> </tbody> </table>	Items	Amount	Net Loss After Tax (but before subsidy and Other Comprehensive Income)	(946,476,395)	Income Taxes	0	Depreciation	1,404,735,799	Amortization	2,065,408	2022 Gross Income	460,324,812
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Objective/Measure	Formula	Weight	Rating System ^{a/}	Target	Actual	Rating	Actual	Rating																						
SM 5	Budget Utilization Rate																													
	a. GAA Subsidies – amounts obligated	Amount Obligated / Total GAA Subsidy	3%	Actual / Target	90%	100%	3.00%	100%	3.00%	Statement of Appropriations, Allotments, Obligations, Disbursements, and Balances as of the Quarter Ending 31 December 2022	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #1a3d4d; color: white;"> <th>Item</th> <th>2022</th> </tr> </thead> <tbody> <tr> <td>Total Subsidy Allotment</td> <td>2,439,279,000</td> </tr> <tr> <td>Obligated Amount</td> <td>2,439,279,000</td> </tr> <tr> <td>Obligation Rate</td> <td>100%</td> </tr> </tbody> </table>	Item	2022	Total Subsidy Allotment	2,439,279,000	Obligated Amount	2,439,279,000	Obligation Rate	100%											
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b. GAA Subsidies – amounts disbursed	Amount Disbursed / Total GAA Subsidy	3%	Actual / Target	90%	93%	2.98%	93%	3.00%	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #1a3d4d; color: white;"> <th>Item</th> <th>2022</th> </tr> </thead> <tbody> <tr> <td>Total Obligated Subsidy</td> <td>2,439,279,000</td> </tr> <tr> <td>Disbursed Amount</td> <td>2,216,186,711</td> </tr> <tr> <td>Utilization Rate</td> <td>91%</td> </tr> </tbody> </table>	Item	2022	Total Obligated Subsidy	2,439,279,000	Disbursed Amount	2,216,186,711	Utilization Rate	91%													
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c. Corporate Funds – CO & MOOE	Amount Disbursed / Total COB	4%	Actual / Target	90%	85%	3.11%	24.42%	1.09%	Consolidated Report of Budget Utilization as of 31 December 2022	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #1a3d4d; color: white;"> <th>Item</th> <th>COB</th> </tr> </thead> <tbody> <tr> <td>MOOE</td> <td>4,194,672,000</td> </tr> <tr> <td>CO</td> <td>569,294,000</td> </tr> <tr> <td>TOTAL</td> <td>4,763,966,000</td> </tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #1a3d4d; color: white;"> <th>Item</th> <th>Utilized</th> <th>BUR</th> </tr> </thead> <tbody> <tr> <td>MOOE</td> <td>1,037,042,072</td> <td>24.72%</td> </tr> <tr> <td>CO</td> <td>126,461,545</td> <td>22.21%</td> </tr> <tr> <td>TOTAL</td> <td>1,163,503,617</td> <td>24.42%</td> </tr> </tbody> </table>	Item	COB	MOOE	4,194,672,000	CO	569,294,000	TOTAL	4,763,966,000	Item	Utilized	BUR	MOOE	1,037,042,072	24.72%	CO	126,461,545	22.21%	TOTAL	1,163,503,617	24.42%
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Subtotal		30%				29.09%		27.09%																						
SO 4	Established Quality Management System																													
INTERNAL PROCESS SM 6	ISO Certification	Actual Accomplishment	5%	All or Nothing	ISO 9001:2015 Certification	No ISO Certification	0.00%	Not Accomplished	0.00%	N/A	Procurement of the certifying body for a third-party audit certification under ISO 9001:2015 QMS was postponed indefinitely as of 2022 yearend.																			