CORPORATE GOVERNANCE SCORECARD REPORT A same of GOCC: Civil Aviation Authority of the Philippines Sector: Utilities and Information-Communication
Date submitted: 30-Mar-23 Year being assess GCG VALIDATION GOCC SUBMISSION COMPONEN REFERENCE Applied Items GRP ITEM QUESTION ANS GUIDE COMPLIANCE LINK/SUPPORTING ANS Comments Score DOCUMENTS . Stakeholder Relat Does the GOCC disclose a policy that: The GOCC must 1.) identify its stakeholders and 2.) 1.8 Stipulates the existence and scope of its effort state the policies that were created for the welfare of its https://caap.gov.ph/wp-content/uploads/2021/05/The-Civilo address customer's welfare? Aviation-Authority-of-the-Philippines-Code-of-Corporate-Section 37: Customers- Integrity and honesty in Governance-Signed.pdf The stated policies must not be ambiguous and should dealings with customers is necessary for a successful include their underlying principles and guidelines. p 17 and p 18 of Code of Corporate Governance (Section 35 and and sustained business relationship. The Cornoration https://caap.gov.ph/code-of-Section 37-disclosed by the GOCC in the submissi should operate a highly effective and efficient corporate-governance/ organization focused on meeting customer objectives Section 35: Formal Recognition of the Stakeholders-The Board. with the aim of providing services which gave fair and https://drive.google.com/file/d 1.00 as an integral part of its Charter Statement and embodied in its consistent quality, reliability and safety in return for the /1RPegidaFo4Y D9XezwU9y price paid for the same. The Corporation should operate Manual of Corporate Governance, identify and formally recognize gbhQD2JjZi /view?usp=shar the Corporation's major and other Stakeholders, identify the policies of continuous improvement, of both processes e link nature of their interests, provide a hierarchy system of their conflicting interest in the Corporation, and providing a clear policy and skills of the staff to take best advanatge of advances in all aspects of society in order to ensure on communicating or relating with Stakeholders accurately, that it continues to add value to its customers' business effectively and sufficiently, together with a system of property rendering an accounting on how the Corporation has served their legitimate interests. Does the GOCC disclose a policy that: The GOCC must clearly identify its policy on interacting | Section 34:As an integral part of the National Elaborates its efforts to interact with the Government, the Corporation inherently mandated to be communities in which they operate? socially responsible, to act and operate as good Disclosure found: The identified policy must not be ambiguous and should corporate citizens. The Governing Board shall recopize https://caap.gov.ph/code-ofhttps://caap.gov.ph/wp-content/uploads/2021/05/The-Civil-1.00 and perform the obligations of the Corporation to the include its princples and guidelines. corporate-governance/ National Government, as well as the minority Aviation-Authority-of-the-Philippines-Code-of-Corporatestakehilders when existing together with employees, Governance-Signed.odf suppliers, customers and the communitiesin which it operates. Does the GOCC disclose a policy that: Ensure The GOCC must clearly identify its policy on 1) keeping that its value chain is environmentally friendly of its value chain environmentally friendly or 2) promoting is consistent with promoting sustainable Section 40. The Corporation should consider that there development? are inevitable environmental impacts associated with Disclosure found: The identified policy must not only show how the GOCC daily operations. It shall be the goal to minimize harmful https://caap.gov.ph/code-ofeffects and consider the development and https://caap.gov.ph/wp-content/uploads/2021/05/The-Civil-1.00 complies with existing environmental regulations but should also show how it employs value processes that corporate-governance/ Aviation-Authority-of-the-Philippines-Code-of-Corporate-Governance-Signed pdf implementation of environmental standards to achieve reduce waste and damage to the environment. The this. As such, the Corporation should strongly encourage Reduce, Recycle and Reuse policy should also not be ambiguous and should include its princples and guidelines. Does the GOCC disclose the activities that it The GOCC must state the activities it has undergone has undertaken to implement the during the year being assessed to implement its policies abovementioned policies? (Customer health an on customer health and safety No disclosure found 0.00 0 The GOCC must state the dates when the aforementioned activities took place. Does the GOCC disclose the activities that it The GOCC must state the activities it has undergone has undertaken to implement the during the year being assessed to implement its policies abovementioned policies? (Interaction with the on community interaction. 0.00 N The GOCC must state the dates when the aforementioned activities took place.
The GOCC must state the activities it has undergone Does the GOCC disclose the activities that it 2.0 has undertaken to implement the during the year being assessed to implement its policies abovementioned policies? (Environmentallyon promoting sustainable development and/or friendly value chain) environmentally-friendly value chain. 0 No disclosure found 0.00 The GOCC must state the dates when the forementioned activities took place. Does the GOCC have a separate corporate The GOCC must identify both (1) the social and social responsibility (CSR) report/section or environmental issues of its stakeholders and (2) the sustainability report/section? activities it undertook to address the said issues during 0.00 0 N No disclosure found the year being assessed. No points will be given if only the stakeholders and their CSR issues are identified.

		Where stakeholder interests are protected by law, stakeholders should have the opportunity to obtain effective redress for violation of their rights. Does the GOCC provide contact details vis the company's website or Annual Report which stakeholders (e.g. customers, suppliers, general public etc.) can use to voice their concerns and/or complaints for possible violation of their rights?	*	The GOCC must have contact details (phone number or email address) specifically for concerns and/or complaints.	Complaints lodged via official public complaints platforms were responded accordingly and in consonance with the regulations of the Anti-Red Tape Act.	Υ	https://drive.google.com/file/d /1RPegidaFo4Y D9XezwUsy- gbhQD2Jb2 /view/usp=shar e_link https://cssp.gov.ph/citizens- charter/	N	https://caap.gov.ph/wp-content/uploads/2022/04/CAAP-Citizens-Charter-2022-1st-Edition.pdf https://caap.gov.ph/wp-content/uploads/2022/04/CAAP-Citizens-Charter-2022-1st-Edition.pdf The only contact numbers indicated are the general contact details not the GOCC's own dedicated phone number or e-mail address for addressing concerns and/or complaints.: Anti-Red Tape Authority (ARTA): complaints@arts.gv.ph 8-478-5093 Presidential Complaint Center (PCC): pcc@mailscanang.gov.ph 8888 Contact Center ng Bayan (CCB): email@contactcentemgbayan.gov.ph 9908-81-5855	0.00	0
•	5.a	Performance-enhancing mechanisms for employee participation should be permitted to develop. Deer the GOCC explicitly mention the health, safety and welfare policy for its employees?	Y	The GOCC must clearly identify its policy on employee health, welfare and safety. The identified policy must not be ambiguous and should include its principles and guidelines.	The Corporation should aim to ensure a safe and healthy working environment for all of its employees, outside contractors and visitors. The Corporation should comply with all relevant local legislation or regulations and best practice guidelines recommended by national health and safe authorities. The staff should be informed regarding policies and practices of the Corporation in order to maintain a healthy, safe and enjoyable environment.	Y	https://drive.google.com/drive /foklers*1me/sgYtN46H80cdn MuJSp5xTxxplGeftcNY7usp=8 hare_link	Y	Disclosure found in the link provided	1.00	
•	5.b	Does the GOCC publish data relating to health, safety and welfare of its employees?	Y	The GOCC must publish data related to health, safety and welfare of its employees such as, but not limited to, absenteeism and occupational injuries/diseases.	Employees were advised to follow safety protocols. They were encouraged to get vaccinated and have booster shots to avoid the spread of the virus in the workplace. Further, an Infection Prevention and Control Committee was formed to develop comprehensive health and safety policies and standards in the workplace to minimize the risk of spread of infectious diseases and corrective measures based on the guidelines and recommendations of DOH and IATE.	Y	https://drive.google.com/drive //olders/1en/Del4d2CVVgl.tz1r JSStv: ppgn/FkDJW?usp=share_link	•	Disclosure found in the link provided	1.00	1
'	5.c	Does the GDCC have training and development programmes for its employees?	Y	The training and development programs for employees must have occurred during the year being assessed and there should be a brief description describing each of the programs.	CAAP employees have attended several trainings for 2022. Online platforms were used efficiently. Heath and safety protocols were observed for trainings that require physical attendance from the employees.	Y	https://drive.google.com/drive /folders/1YyX5- SUMOxb0cPfSqPdO- p9g48hzlBi2?usp=share_link	N	The GOCC's submission is for Calendar Year 2023. Disclosure regarding 2022 trainings and development programs for employees is not found in the website nor in the annual accomplishment report.	0.00	0
	5,d	Does the GOCC publish data on training and development programms for its employees?	Y	The GOCC must give the name of the program and either the 1.) number of participants per program or 2.) average hours per training held	CAAP employees have attended several trainings for 2022. Online platforms were used efficiently, Heath and safety protocols were observed for trainings that require physical attendance from the employees.	Y	https://drive.google.com/drive /folders/1k5NM96U- 7w6vt5g7idQdZVLhNKt67pQ r?usp=share.link	N	The GOCC's submission is for Calendar Year 2023. Disolosure regarding 2022 frainings and development programs for employees is not found in the website nor in the annual accomplishment report.	0,00	0
	6.a	Stateholders including individual empkoyee and their representative bodies, should be able to fruely communicate their concerns about illegal or unethical practices to the board and their rights should not be compromised for doing this. Does the GOCC have procedures for complaints by empkoyees concerning (including cortruption) and unethical behavior?	Y	The GOCC must disclose the actual procedures of their whiteblowing policy for their employees or grievance machinery. Merely stating that they have a policy will not suffice.		N		N	No disclosure found	0.00	0
1	6.b	Does the GOCC have procedures to protect an employee/person who reveals illegal/unethical	Y	The GOCC should explicitly disclose the procedures/mechanism in place that protects the		N		N	No disclosure found	0.00	0
	Name and Address of the Owner, where the Owner, which is the Ow	behavior from retaliation?		whistleblower from retaliation						5.00	5
		COMPONENT			GOCC SUBMIS	SION	REFERENCE		GCG VALIDATION		
GRP	ITEM	QUESTION	ANS	GUIDE	COMPLIANCE	ANS	LINK/SUPPORTING DOCUMENTS	ANS	Comments	Score	Applied Items
II. Disclosure an	d Transpare 7.a	ncy Does the GOCC's website disclose the following items: Corporate objectives	Y	The GOCC's corporate objectives must be specific, measurable, achievable, realistic and timely. Showing the GOCC's performance scorecard will also be given points.	CAAP Corporate Objectives include measures on Standard Avlation Safety and Security, Reliable Service to Stakeholders, improved Financial Viability, Established Quality Management System, Safe and Efficient Management of Airspace, and Enhanced Personnel Effectivity and Productivity.	Y	https://caap.gov.ph/wp- content/upleads/2023/02/CA AP-2022-Performance- Scorecard-Monitoring-Report- 1_pdf https://caap.gov.ph/performa nce-scorecards/	٧	Disclosure found in the link provided	4.00	
11	7.5	Does the GOCC's website disclose the following items: Financial performance indicators	Y	Apart from declaring the financial performance indicators in the annual report and audited financial statements, declaring the financial strategic measures is the GOCCs performance scorecard will also merit points.	The CAAP financial startegic measures and performance may be viewed in the Performance Scorecards and Annual Accomplishment Reports in the links provided.	Y	https://caap.gov.ph/wp- content/uploads/2023/02/CA AP-2022-Performance- Scorecard-Monitoring-Report- 1.pdf https://caap.gov.ph/2022- accomp@shment-reports/	Y	Disclosure found in the link provided	4.00	•

have the GOCC's website disclose the following Apart from declaring the non-financial performance https://caap.gov.ph/wpms: Non-financial performance indicators indicators in the annual report and accomplishment ontent/unloads/2023/02/CA reports, declaring the non-financial strategic measures AP-2022-Performance-Non-financial strategic measures include Standard in the GOCC's performance scorecard will also merit Scorecarri-Monitoring-Report Aviation Safety and Security Reliable Service to Disclosure found in the link provided 4.00 Stakeholders, Established Quality Management System, Safe and Efficient Management of Airspace. https://caap.gov.ph/performa and Enhanced Personnel Effectivity and Productivity nce-scorecards/ Does the GOCC's website disclose the following 7.4 The GOCC must disclose the actual procedures of their whistlebiowing policy for their stakeholders. Merely stating that they have a whistleblowing policy will not ems: Details of whistle-blowing policy 0.00 No disclosure found Does the GOCC's website disclose the following All of the biographical details of ex officio and appointive items: Biographical details (at least age, direcors being asked by the item must be provided, The CAAP Board of Directors' biographical details and Incomplete details: There's no indicated age of CAAP's Board of qualifications, date of first appointment, relevant https://caap.gov.ph/caapatherwise no point will be given should there he a 0.00 0 rofiles are posted on the official website. board-of-directors-2022/ Directors experience, and any other directorships of listed mission datall companies) of directors/commissioners Does the GOCC's website disclose the following All the trainings of Appointive Directors up until the year items: Training and/or continuing education being assessed must be disclosed. If the Appointive programme attended by each Director did not attend a meeting on the year being 0.00 No disclosure found director/commissioner assessed, a statement regarding his lack of training must be made in order to gamer points for this item. Does the GOCC's website contain a statement The GOCC must state that it fully complies with the confirming the company's full compliance with code of corporate governance and if there is nonthe code of corporate governance and where there is non-compliance, identify and explain compliance. No disclosure found 0.00 pasons for each such issue? Merely stating that the GOC "generally complies" with the code of corporate governance will not be taken as 12.00 COMPONENT GOCC SUBMISSION GCG VALIDATION REFERENCE GUIDE REMARKS Applied Items GRP ITEM QUESTION COMPLIANCE ANS LINK/SUPPORTING DOCUMENTS III. Board Respon The COCC must displace that the Board has reviewed 11.a Has the Board of Directors reviewed the vision and mission/ strategy in the last financial year? the mission, vision and strategy during the year being accessed. The date of review must also be indicated. N No disclosure found 0.00 n Merely stating the GOCC's mssion, vision and strategy, and posting documents (strategy map and scorecard) will not be given points. There should be a disclosure on how the Board Does the Board of Directors monitor/oversee the 0.00 0 plementation of the corporate strategy? versees the implementation of the corporate strategy No disclosure found 13 a Are the details of the code of ethics or conduct The details of the Code of Ethics or Conduct must be disclosed. Merely stating that the GOCC has a Code of RA 6713 is an act establishing the Code of Conduct and Shoanlasil Ethics without divulging information on the coverage of Ethical Standards for Public Official and Employees, to the Code or how breaches are handled will not suffice. uphold the time-honored princile of public office being a public trust, granting incentives and rewards for exemplary service, enumerating prohibited acts and https://drive.google.com/drive transactions and providing papalties for violations /folders/1LcOwb-2j3XsyBNG3aMdSjeRa02K 1:00 Dischasive found in the link provided 1 hereof and for other purposes. UWya?usp=share link RA 6713 is part of the lectures for the New Employees Orientation Program (NEOP) of CAAP. This training is attended by new employees to familiarize them with the ules and ethical standards of being a public servant. Does the GOCC disclose that all It must be explicitly stated that all the Directors, senior Directors/Commissioners, senior management management and the employees are required to comply 0.00 n No disclosure found with the Code. If the Code is only for employees, the and employees are required to comply with the item will be marked as "N." Does the company disclose how it implements Examples of activities done in order to implement or and monitors compliance with the code of ethic nonitor compliance with the Code of Ethics/Conduct or conduct? communicating the code to all existing and new No disclosure found 0.00 0 employees and directors making the code available on the company intranet for ease of access - requiring all parties to declare annually that they have compiled with the code of ethics or conduct.
The GOCC must disclose the names of all of the Does the Board appoint a Nomination mpensation / Remuneration Committee? nembers of its Nomination, Compensation / Remuneration Committee during the year being 0 No disclosure found 0.00 assessed. Merely stating the name of the office/agenc of the Ex Officio Member will not suffice and will not namer any points. The GOCC must publish the meeting attendance Did the Nomination No disclosure found 0.00 0 Compensation/Remuneration Committee meet N N records during the year being assessed. at least twice during the year?

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	16	If yes, is the report of the Nomination Compensation/Remuneration Committee publicly disclosed?	Y	The GOCC must publish an accomplishment report of the committee and/or minnutes of the meetings held.				N	No disclosure found	0.00	0
411	17	Does the Board appoint an Audit Committee?	Y	The GOCC must disclose the names of all of the members of its Audit Committee during the year being assessed. Merely stating the name of the office/agency of the Ex Officio Member will not suffice and will not gerner any points.		N		N	No disclosure found	0.00	0
m	18	If yes, is the report of the Audit Committee publicly disclosed?	Y	The GOCC must publish an accomplishment report of the committee and/or minnutes of the meetings held.		N		N	No disclosure found	0.00	0
III	19	Does at least one member of the Audit Committee have an audit, accounting or finance background (qualification or experience)?	Y	The educational qualifications and/or work experience of the Audit Committee Members should be disclosed. At least one of the Audit Committee Members must have an audit, accounting or finance educational or work background in order to gamer points for this item.		N		N	No disclosure found	0.00	0
ш	20	Did the Audit Committee meet at least four times during the year?	Y	The GOCC must disclose all the audit committee meetings held during the year being assessed.		N		N	No disclosure found	0,00	0
111	21	Does the Board appoint a Risk Management Committee?	Y	The GOCC must disclose the names of all of the members of its Risk Management Committee during the year being assessed. Merely stating the name of the office/ingency of the Ex Officio Member will not suffice and will not garner env points.		N		N	No disclosure found	0.00	0
III	22	If yes, is the report on Risk Management Committee publicly disclosed?	Y	The GOCC must publish an accomplishment report of the committee and/or minnutes of the meetings held.		N		N	No disclosure found	- 0.00	0
III	23	Does at least one member of the Risk Management Committee have a background in finance and investments?	Y	The educational qualifications and/or work experience of the Risk Management Committee Members should be disclosed. At least one of the Risk Management Committee Members must have an investment and finance educational or work background in order to		N		N	No disclosure found	0.00	0
111	24.8	Are the Board of Directors meetings scheduled at the beginning of the year? (end of Q1)	Y	garner points for this item. The GOCC must explicitly disclose that the meetings held on the year being assessed were scheduled well in advance and when they were scheduled.		N		N	No disclosure found	0.00	0
111	24.b	Does the Board of Directors meet at least monthly?	Y	The GOCC must show its attendance records that there were monthly meeting held.	There were no Board meetings conducted for the months of May to July due to the change in administration.	N		N/A	N/A was given as a consideration since there were no Board meetings conducted for the months of May to July due to the change in administration	0.00	0
111	24.c	Did the Board of Directors meet on at least 75% on their scheduled meetings?	Y	In order to gamer points for this item, the GOCC must be able to first prove that meetings were scheduled in advance (Q. 24.a.) Afterwhich, a schedule of actual meetings had must be shown to prove that the Board met on at least 75% of their scheduled meetings.	administration; The CAAP Board of Directors met for ten (10) times to conduct Board Meetings in 2022.	Y	https://drive.google.com/drive /folders/1DYwRdBnzVWDVn z2Qcl.bu3WrsZUu1WWGJ? usp=share_link	N	Unable to verify actual vs scheduled meetings since there is no disclosure for 24.a on meetings scheduled at the beginning of the year	0.00	0
	24.d	Has each of the directors/commissioners attended at least 90% of all the board meetings held during the year?	Y	All of the attendance of Appointive and Ex Officio/Alternate Directors must be considered and all of them should have attended at least 90% of the board meetings held during the year in order to garner points for this item.		N		N	No disclosure found	0.00	0
III	24,6	Did the Board of Directors meet separately at least once during the year without the President/CEO present?	Y	The GOCC must explicitly state a meeting held on a specific date wherein the Board met without the President/CEO present.	All Board Meetings for CY 2022 were attended by the President/CEO/Director General.	N	https://drive.google.com/drive /folders/1DYwRdBnzVWDVn z2Qct.bu3WrsZUu1WWGJ? usp=share_link	N	The GOCC disclosed that all Board Meetings for CY 2022 were attended by the President/CEO/Director General.	0.00	0
III.	25.a	Does the GOCC have a policy that stipulates board papers for Board of Directors/Commissioners meetings be provided to the Board at least three (3) working days in advance of the board meeting?	Y	The GOCC must clearly disiolose that the Board was provided with the board papers for the upcoming meeting at least 3 working days in advance of the said meeting.		N	VII. 2.33	N	No disclosure found	0.00	0
Ш	25.b	Is the Board Secretary trained in legal, accountancy or company secretarial practices?	Y	The GOCC should disclose the educational and work background of the Corporate Secretary. In order to gamer points for this item, there should be proof that the Corporate Secretary has legal, accountancy or secretarial sducationalwork background.	Attached is the Curriculum Vitae of the former Corporate Board Secretary, Atty. Roberto Martin S. Buenaventura.	Y	ment/d/1csAlHsNLfMEW_bA Bn6sTZxLr6wAUEsAV/edit/tu sp=share link&ouid=104415 504162449043827&rtpof=tru	٧	Disclosure found in the link provided	1,00	1
111	26.8	Does the company have a separate internal audit function?	Y	There should be a clear showing that there is a separate internal audit function in the GOCC, whether it be a singular internal auditor, an entire internal audit	The Internal Audit Service (IAS) performs the Internal audit function.	Y	https://caap.gov.ph/caap- services-and-offices/	٧	Internal Audit Service (IAS)-composed of Operations Audit Division and Financial Audit Division Disclosure is also found in: https://caap.gov.ph/organizational-chart/	1.50	•
111	26.b	Does the appointment and removal of the internal auditor require the recommendation of the Audit Committee?	Y	The GOCC should explicitly state that the appointment and removal of the internal auditor require the approval of the Audit Committee. Should the charter of the GOCC provide for another mode of appointment/removal of the internal auditor, this should also be stated in order for the assessors to consider such issue.		N		N	No disclosure found	0.00	0
***	27.a	Does the company disclose the internal control procedures/risk management systems it has in place?	Y	The GOCC must name all the key internal control procedures and its risk management system. There should also be an assignment of responsibilities in order to gamer points for this item	The Authority has published the CAAP Quality Management System Manual as basis of each offices' Operations Manual. Possible risks and how it shall be managed are part of the manuals.	Y	https://drive.google.com/file/d /1YzqlF1Cn7CRK2siH9tlqNB im9XMb ZDS/view?usp∞sha re_link	N	No website disclosure on QMS manual. In order for this item to be marked as "Y, there must be a disclosure that includes a description of key internal controls and assignment of responsibilities and accountabilities. All operational, financial, and other risks and its compliance controls must be disclosed.	0,00	0

	27.b	Does the Annual Report disclose that the board of directors/commissioners has conducted a review of the company's material controls (including operations), financial and compliance controls) and risk management systems?	Y	The GOCC's annual report must explicitly state that the Board conducted a review of the company's risk management system and material controls during the year being assessed.		z		N	No disclosure found	0.00	0
	27.c	Does the company disclose how key risks are managed?	Y	The GOCC must disclose all of its key risks (operational, compliance and financial) and how they are being managed. Merely disclosing a list of risks will not garner any points.	With the implementation of ISO 9001-2015, risks are disclosed to the Management and how they are managed through Management Review.	Y	https://drive.google.com/file/d /1YzglF1Cn7ORK2siH9ttqNB lm9XMb_ZDS/vlew?usp=sha re_link	N	No disclosure found The submission of the GOCC only indicates that risk and opportunities are to be discussed on the Management Review Agenda (no. 12) and it does not disclose the GOCCs key risks	0.00	0
	27.d	Does the Annual Report contain a statement from the Board of Directors or Audit Committee commenting on the adequacy of the GOCC's internal controls/risk management systems?	Y	The GOCC's Board or Audit Committee must explicitly state that the GOCC's risk management systems and internal controls are adequate		N		N	No disclosure found	0.00	0
111	28	Do different persons assume the roles of Chairman and CEO?	*	The GOCC's PCEO and Chairman during the year being assessed must be clearly identified in the website. An NA rating will be given should the GOCC's charter provide that the Chairman and PCEO positions must be held by a single person.	The Chairman of the CAAP Board of Directors is the Secretary of Transportation and the CEO is the Vice Chairperson and Director General of CAAP.	Y	https://csap.gov.ph/csap- board-of-directors-2022/	Y	Disclosure found in the link provided	1.00	1
"	29.a	Does the GOCC have orientation programs for new Directors?	Ý	The GOCC must not only state that it has an orientation programs for Directors. Details and/or coverage of the orientation program must be disclosed in order to parmer points for this item.		N		N	No disclosure found	0.00	0
""	29.b	Does the GOCC have a policy that encourages Directors/Commissioners to attend on-going or continuous professional education programs?	Y	Apart from stating the GOCC's training policy and continuous education programs for its Directors, the GOCC may also state that it has a training budget allocated for the Directors on the year being assessed.		N		N	No disclosure found	0.00	0
	29.0	Did all Appointive Directors attend at least 1 training for the calendar year?	٧	The GOCC must disclose that each Appointive Director attended at least one (1) training during the year being assessed. If there is an Appointive Director who clid not attend at least one (1) training the GOCC will not gamer points for this item.		N		N	No disclosure found	0.00	0
	30.a	Is an annual performance assessment conducted of the Board of Directors?	Y	The GCC should conduct its own Board Appraisal which is different from GCG's internet-Based Performance Evaluation for Directors (IPED). It must be readily apparent when the said Board Appraisal was conducted in order to garner points for this item.		N		N	No disclosure found	0.00	0
	30.b	Does the GOCC disclose the process followed in conducting the Board assessment?	Y	The GOCC must disclose the entire process involved in undertaking the Board Appraisal.		N		N	No disclosure found	0.00	0
III	30,c	Does the GOCC disclose the criteria used in the Board assessment?	Y	The GOCC should clearly state all the criteria that the Board Members used in their Board Appraisal.		N		N	No disclosure found	0.00	0
"	31	Is an annual performance assessment conducted of the Board of Directors Committees?	Y	The GOCC should conduct an Appraisal of its Committees' performance during the year being assessed. It must be readily apparent when the said Committee Appraisal was conducted in order to garner points for this item.		N			No disclosure found	0.00	0

CORPORATE GOVERNANCE SCORECARD REPORT B

Name of GOCC: Civil Aviation Authority of the Philippines Sector: Utilities and Communications Date submitted: 14-Sep-23 Year being asser 2022

		COMPONENT			GOCC SUBM	IISSION		-	GCG VALIDATION		Applie
GRP	ITEM	QUESTION	ANS	GUIDE	COMPLIANCE	ANS	REFERENCE LINK/SUPPORTING DOCUMENTS	ANS	REMARKS	Score	Items
sclosure ar	d Transpare		V	Annual reports/Financial	The Audited Financial Statements were uploaded in the	Y	https://caap.gov.ph/transparency/	N	No downloadable CY 2022 Annual Report found in		
		Are the annual reports downloadable from the		statements on the year being	CAAP website on 13 September 2023.	100	THE STATE OF THE S		website		
		GOCC's website?		assessed must be downloadable	NAMES OF THE PROPERTY OF THE PROPERTY OF THE PROPERTY OF					0.00	0
		COLUMN TO THE REAL PROPERTY OF		from the GOCC's website.						V File	
11	10.a	Are the audited annual	Y	The GOCC must explicitly state	The Annual Audit Report received on 18 August 2023 from	Y	https://caap.gov.ph/transparency/	N	No disclosure in the website when the AFS was		
7201		financial report/statement		the 1.) date of receipt of the AFS	COA was uploaded on the CAAP website on 13 September 2023.				published online		
		uploaded on the website within 60 days upon receipt		from COA and 2.) the date when the AFS was released/published	September 2023.						
		from COA?		online.							
				An N/A rating will be given for this item if the COA-audited							1.
				financial report is not yet						0.00	1
E COMMISSION OF THE PERSON OF	The state of			available or not yet transmitted to the GOCC, provided that the							
		The second second second		eyidence/ proof of their financial			1				
				reports have already been							
Delin India	M. The State of	The second second second		submitted for audit and that they disclose their unaudited financial							100
				reports instead.							
11	10.b	is the annual report released	· ·	The GOCC must explicitly state	The Authority received the Annual Audit Report from COA	Y	https://caap.gov.ph/transparency/	N	No CY 2022 Annual Report found in website		
	10.0	within 90 days from release of		the 1.) date of receipt of the AFS	on 18 August 2023.		The state of the s		The state of the s		100
		audited financial report?		from COA and 2.) the date when the Annual Report was	,						
- United to				released/published online						0,00	1
	10.c	Is the true and fairness/fair	Y	There should be a statement	The Statement of Management Responsibility for	Y	https://drive.google.com/file/d/1-3UzbHW-	Y	Disclosure found:		
		representation of the annual		onlione made by the Directors	Financial Statements signed by the Chairman of the Board, Director General and Chief Financial Officer was		5rSr- YmE4dLmAMOqDCARveDW/view?usp=dr		https://caap.gov.ph/wp-content/uploads/2023/09/06-		1
		financial statement/reports affirmed by the board of		and/or relevant officers confirming the truth/veracity and	issued on 07 June 2023.		ive link		CAAP2022_Part1-Mgmt_Responsibility_for_FS.pdf		
		directors/commissioners		fairness of the GOCC's financial				W. 1275			
	Man and	and/or the relevant officers of the company?		statements.				BOTH.			
		use company t									
	To the Control of the							REAL PROPERTY.		2.50	
								The state of			
Muli									Total Score	2.50	
	to high	COMPONENT	C 11-10		GOCC SUB	MISSION			GCG VALIDATION		An
GRP	ITEM	QUESTION	ANS	GUIDE	COMPLIANCE	ANS	REFERENCE LINK/SUPPORTING DOCUMENTS	ANS	REMARKS	Score	Ite
lii	itles of the B	Did the GOCC achieve 90% in	Y	The GOCC must publish the	The GCG has not provided the validated 2022 CAAP	Y	https://drive.google.com/file/d/1FbN0CgEP	N	Based on the Validation Result of CAAP's 2022		
	-	the PES?		GCG-validated performance	performance scorecard. However, the CAAP complied	113	Gpls9Gxtc 4OXISslfYWBki/view?usp=dri		Performance Scorecard which was received on 03 October 2023, the CAAP obtained an overall score of	3231	
				scorecard on the year being assessed.	with all the requirements for the CAAP 2022 performance scorecard including the quarterly monitoring report and		ve link		73.29%.		
				855658541	submission of supporting documents.			DE U			
					THE STATE OF THE S						
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	10 OH 1	COMPONENT			GOCC SUE	MISSION			GCG VALIDATION		
				0.9960		1 100.00	REFERENCE LINK/SUPPORTING	****	ment a mark	Score	Ap
GRP	ITEM	QUESTION	ANS	GUIDE	COMPLIANCE	ANS	DOCUMENTS	ANS	REMARKS	Score	

IV	Relationship	Does the GOCC practice Global Reporting Index (GRI) on its annual reports?	٧	The GOCC must publicly disclose that it has adopted integrated reporting based on the GRI framework for its disclosures.				N	No disclosure found	0.00	0
IV	release of annual	is the audited annual financial report/statement released within 30 days upon receipt from COA?	Y	The GOCC must explicitly state the date when the AFS was	The Audited Financial Statements were released by COA on 18 August 2023, it was published on the CAAP website on 13 September 2023.	Y	https://caap.gov.ph/transparency/	N	No disclosure in the website when the AFS was published online	0.00	0
	Indeport								Total Score	0.00	0
V. Penalty			out in					-	No. Association Construent CAAD bold many three fire		
Y	Responsibiliti es of the	Are there members of the Board of Directors who hold more than five (5) positions in GOCCs and PLCs?	N	The GOCC must name all the directorships of their Appointive Directors to other GOCCs and PLCs. Should the Director have more than 5 positions in other GOCCs and PLCs, the GOCC will be marked N on this item.	All Appointive Directors & Ex-Officio members have memberships in other GOCCs. There are four (4) members who holds more than five (5) positions in other GOCCs.	N	https://drive.google.com/file/d/11_d/2s1Evm bChDal MS8DPeiSmHbi_u_og/view?usp= drive_link		No Appointive Directors of CAAP hold more than five (5) positions in GOCCs and PLCs.	0.00	0
٧	Responsibiliti	is there non-compliance with Good Governance Conditions?	N	The GOCC must disclose the results of GCG's evaluation of its good governance conditions. Should the GOCC fell to comply with two or more conditions, it will be marked N on this item.				N/A		0.00	0

Civil Aviation Authority of the Philippines WEIGHT SCORE CORPORATE GOVERNANCE SCORECARD No. of Applied Items 5.00 15.00% Stakeholder Relationships (15%) 35.00% 14.50 Disclosure and Transparency (35%) 50.00% 4.50 Responsibilities of the Board (50%) III 0.00 0 Bonus 0 13 0.00 Penalty 24.00 100.00%

Items rated N/A	Total points of N/A items
24.b	1.5

Final CGS Score	24.37
Final CGS Rating Equivalent	Below Average